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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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|--|--|------------------------|-------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number | 10/653,683 |
| | | Filing Date | September 2, 2003 |
| | | First Named Inventor | Schleicher |
| | | Group Art Unit | Not yet assigned |
| | | Examiner Name | Not yet assigned |
| Total Number of Pages in This Submission | | Attorney Docket Number | W02.001 |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|------------------|--|
| Firm or Individual name | Kurt M. Maschoff | |
| Signature | | |
| Date | December 2, 2003 | |

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: SCHLEICHER et al.)
Serial No.: 10/653,683) Examiner: Not yet assigned
Filing Date: September 2, 2003) Group Art Unit: Not yet assigned
For: POINTS-BASED REWARD) Attorney Docket No.: W02.001
AUTOMATION SYSTEM AND)
METHOD)
)
)
)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed within three months of the filing date of a national application.

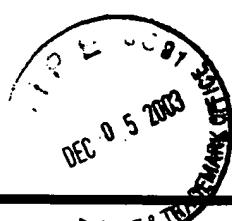
Respectfully submitted,

December 2, 2003

Date



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| <p>Substitute for form 1449A/PTO <i>ENT & TRADE</i></p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> | | | | <p><i>Complete if Known</i></p> <table border="1"> <tr> <td>Application Number</td> <td>10/653,683</td> </tr> <tr> <td>Filing Date</td> <td>September 2, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>SCHLEICHER</td> </tr> <tr> <td>Group Art Unit</td> <td>Not yet assigned</td> </tr> <tr> <td>Examiner Name</td> <td>Not yet assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>W02.001</td> </tr> </table> | | Application Number | 10/653,683 | Filing Date | September 2, 2003 | First Named Inventor | SCHLEICHER | Group Art Unit | Not yet assigned | Examiner Name | Not yet assigned | Attorney Docket Number | W02.001 |
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| Attorney Docket Number | W02.001 | | | | | | | | | | | | | | | | |
| Sheet | 1 | of | 2 | | | | | | | | | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|---------------|-------------------------|---------------------|--------------------------------------|---|---|---|----------------|
| Examiner Initials* | Cite No. 1 | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | 1 | WO | 96/29668 | ✓ | A1 | Carrithers et al. | 09/26/1996 | |
| | 2 | WO | 00/21008 | ✓ | A1 | Catlin et al. | 04/13/2000 | |
| | 3 | WO | 01/13563 | ✓ | A2 | Scognamillo | 02/22/2001 | |
| | 4 | WO | 01/43034 | ✓ | A2 | Fredregill et al. | 06/14/2001 | |
| | 5 | WO | 01/61597 | ✓ | A2 | Fredregill et al. | 08/23/2001 | |
| | 6 | WO | 01/86545 | ✓ | A2 | Fredregill et al. | 11/15/2001 | |
| | 7 | WO | 02/061524 | ✓ | A2 | Warwick | 08/08/2002 | |
| | 8 | WO | 02/071187 | ✓ | A2 | Bushold et al. | 09/12/2002 | |

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 INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

| <i>Complete if Known</i> | |
|--------------------------|-------------------|
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| Examiner Name | Not yet assigned |
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|--|----------------|
| | 1 | Estell, Libby: "The Wrong Stuff", Incentive, August 2003, 3pgs. | |
| | 2 | Gillan, Michelle: "E-Motivation", Sales & Marketing Management, April 2003. 1pg. | |
| | 3 | Hatch, Susan: "Incentives with a Byte", Corporate Meetings & Incentives, March 2003, 6pgs. | |
| | 4 | Incentive Exchange: "Incentive Exchange® Claims System Features", ©Hinda Incentives, 2003, 3pgs. | |
| | 5 | innergE®: "innergE® Nomination System Features", ©Hinda Incentives, 2003, 3pgs. | |
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| | 7 | Incentive Exchange: "Incentive Exchange® Features", ©Hinda Incentives, 2003, 2pgs. | |
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